

Default Price-Quality Path Annual Compliance Statement 1 April 2020 – 31 March 2021 Assessment Period

27 August 2021

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1. Introduction

Top Energy Limited (Top Energy) is subject to price-quality regulation under Part 4 of the Commerce Act 1986. The Commerce Commission has set a Default Price-Quality Path (DPP) which applies to Top Energy from 1 April 2020.

This annual compliance statement is published in accordance with clause 11.4 of the 2020 DPP Determination, and applies to the first assessment period, commencing 1 April 2020 and ending 31 March 2021.

This statement confirms that Top Energy:

- Complies with the requirement to calculate the wash-up amount for the assessment period (section 3)
- Complies with the quality standards for the assessment period (section 4); and
- Has not entered into any agreement with another EDB or Transpower for an amalgamation, merger, major transaction or non-reopener transaction in the assessment period (section 5)

A copy is available on Top Energy's website www.topenergy.co.nz

2. Date prepared

This statement was prepared on 27 August 2021.

3. Wash-up amount

3.1 Statement of compliance

As demonstrated in Table 1 in Section 3.2, and consistent with clause 8.6 of the 2020 DPP Determination, Top Energy has complied with the wash-up amount calculation for the first assessment period.

The wash-up amount for the 2021 assessment period will be included in the calculation of allowable revenue and price-setting for the 2023 assessment period, beginning 1 April 2022. The wash-up amount is included in the calculation of allowable revenue two years after the relevant revenue assessment period.

For presentation purposes the tables set out in this document are aggregates of the price and quantity information. While dollar balances are rounded to the nearest thousand dollars, the underlying compliance calculations apply to the whole number.

3.2 Wash-up amount calculation

Table 1

Wash-up amount RY21		
Term	Term Description	
Actual allowable	Sum of actual net allowable revenue, actual pass-through and	
revenue (AAR)	recoverable costs, pass-through balance and revenue wash-up draw down amount	44,895
Actual revenue (AR)	Sum of actual revenue from prices plus other regulated income	45,985
Actual net allowable revenue x (revenue reduction percentage - Revenue foregone (RV) 20%) when revenue reduction percentage is greater than 20%, otherwise nil		-
Wash-up amount	AAR - AR - RV	(1,089)

Further information supporting actual allowable revenue is included in Section 3.2.1.

Further information supporting actual revenue is included in Section 3.2.2.

Further information supporting revenue foregone is included in Section 3.2.3.

3.2.1 Actual allowable revenue

Table 2 below shows the actual allowable revenue for the assessment period consistent with Schedule 1.6 of the 2020 DPP Determination.

The actual allowable revenue is the actual net allowable revenue plus pass-through and recoverable costs, any wash-up draw down amount and pass-through balance (PTB), that Top Energy can earn in an assessment period.

Table 2

Actual allowable revenue		
Term	Description	Value (\$000)
Actual net allowable revenue (ANAR)	Actual net allowable revenue as set out in Table 1.4.1 in Schedule 1.4 for the period ending 31 March 2021	38,015
Actual pass through costs	Actual pass-through costs and Actual recoverable costs	244
Actual recoverable costs	Actual recoverable costs, excluding any recoverable cost that is a revenue wash-up drawn down amount	6,884
Opening wash-up account balance	The opening wash-up account balance for the first assessment period of the DPP regulatory period is nil as set out in Schedule 1.7 (1)(a)	-
Pass-through balance allowance	(-1) ePTB (1+ 67th percentile post-tax WACC)	(248)
Total actual allowable revenue (AAR)	Actual net allowable revenue + actual pass- through costs and actual recoverable costs – (pass-through balance x (1 + 67 th percentile estimate of post-tax WACC))	44,895

Further information supporting actual pass-through costs, actual recoverable costs and the pass through balance is included in Appendix A.

3.2.2 Actual revenue

Table 3 below shows actual revenue for the assessment period consistent with clause 4.2 of the 2020 DPP Determination.

Appendix B contains the schedules of prices and quantities used to calculate actual revenue from prices. This schedule shows that Top Energy recovered \$791k higher than the forecast revenue from prices including previous period wash-ups.

Other Income consists of the revenue from generation sales from the network diesel generators while gains and Losses relate to Network Assets.

Table 3

Actual revenue from prices RY21		
Term	Description	Value (\$000)
Actual revenue from prices (ΣP _{2020/21} *Q _{2020/21)}	Actual prices between 1 April 2020 and 31 March 2021 multiplied by actual quantities for the period ending 31 March 2021	45,926
Prior period wash-ups	Prior year revisions that are receipted in the current year	(34)
Gains and Losses		(85)
Other Income		178
Total Actual revenue (AR)		45,985

Further information supporting actual revenue from prices is included in Appendix B.

3.2.3 Revenue foregone

The revenue forgone component of the wash up calculation places a cap on the amount of revenue that can recovered through the wash-up mechanism if there is a reduction in revenue from prices relative to forecast of more than 20%.

Table 4 below shows the revenue foregone consistent with clause 4.2 of the 2020 DPP Determination. Revenue forgone is Nil as the variance to forecast is < 20 %.

Revenue Forgone RY21		
Term	Description	Value (\$000)
Actual net allowable revenue (ANAR)	Amount specified as forecast net allowable revenue for the first assessment period	38,015
Revenue reduction percentage (RRP)	1 - (actual revenue from prices / forecast revenue from prices)	-1.96%
Revenue foregone (RV)	Actual net allowable revenue x (RRP- 20%) when RRP is greater than 20%, otherwise nil	-

4. Quality standards

4.1 Statement of compliance with planned interruptions quality standards

Top Energy is subject to a planned accumulated SAIDI limit and a planned accumulated SAIFI limit which are assessed for the DPP regulatory period as stated in clause 9.2 of the 2020 DPP Determination.

Table 5 and Table 6 below show the planned accumulated SAIDI and SAIFI limits for Top Energy for the DPP regulatory period and the planned SAIDI and SAIFI assessed values for the first assessment period.

Table 5

Planned interruptions quality standard - SAIDI		
Sum of planned SAIDI assessed values ≤ Planned accumulated SAIDI limit		
Planned accumulated SAIDI limit 1905.36		
Planned SAIDI assessed value for the first assessment period	99.21	
Compliance result Compliant		

Table 6

Planned interruptions quality standard - SAIFI		
Sum of planned SAIFI assessed values ≤ Planned accumulated SAIFI limit		
Planned accumulated SAIFI limit 7.7526		
Planned SAIFI assessed value for the first assessment period0.82		
Compliance result Compliant		

Further information supporting planned SAIDI and SAIFI assessed values is included in Section 4.1.1.

4.1.1 Planned SAIDI and SAIFI assessed values

Table 7 and Table 8 below show Top Energy's planned SAIDI and SAIFI assessed values for the assessment period.

Table 7

Planned SAIDI assessed value RY21		
Term	Description	Value
Class B non-notified interruptions		51.29
Class B notified interruptions falling outside window		4.01
SAIDIB	Sum of Class B non- notified interruptions	55.30
Class B notified interruptions falling inside window		87.14
Class B intended interruptions cancelled without notice		0.68
Class B intended interruptions cancelled with notice		-
SAIDI _N	Sum of Class B notified interruptions	87.83
Planned SAIDI assessed value	$SAIDI_B + (SAIDI_N/2)$	99.21

Planned SAIFI assessed value RY21		
Term	Description	Value
Planned SAIFI assessed value	Sum of Class B interruptions commencing within the assessment period	0.820

4.2 Statement of compliance with unplanned interruptions quality standards

As demonstrated in Table 9 and Table 10 below, and consistent with clause 9.7 of the 2020 DPP Determination, Top Energy has complied with the unplanned interruptions quality standard.

Table 9

Unplanned interruptions quality standard RY21 - SAIDI Unplanned SAIDI assessed value ≤ Unplanned SAIDI limit		
Unplanned SAIDI limit 380.24		
Unplanned SAIDI assessed value	Sum of normalised SAIDI values for Class C interruptions commencing within the assessment period	300.83
Compliance result		Compliant

Table 10

Unplanned interruptions quality standard RY21 - SAIFI		
Unplanned SAIFI assessed value ≤ Unplanned SAIFI limit		
Unplanned SAIFI limit		5.0732
Unplanned SAIFI assessed value	Sum of normalised SAIFI values for Class C interruptions commencing within the assessment period	3.1020
Compliance result		Compliant

Information about policies, procedures and calculations for measuring planned and unplanned interruptions during the assessment period is in Appendix C.

4.2.1 Major events

Table 11 and Table 12 below show the SAIDI and SAIFI values attributed to major events which occurred during the assessment period.

Further information about major events is included in Appendix D.

Table 11

Unplanned SAIDI major events RY21									
Start	End	Pre-normalised unplanned SAIDI	Normalised unplanned SAIDI						
		0	0						

Unplanned SAIFI major events RY21									
Start	End	Pre-normalised unplanned SAIFI	Normalised unplanned SAIFI						
09/06/2020 05:50 pm	09/06/2020 06:52 pm	0.3442	0.004758						
11/06/2020 03:21 pm	12/06/2020 12:28 pm	0.6745	0.009516						

4.3 Statement of compliance with extreme event standard

As demonstrated in Table 13 below, and consistent with clause 9.9 of the 2020 DPP Determination Top Energy has complied with the extreme event standard.

Extreme event standard RY21								
Unplanned SAIDI value ≤ 120 minutes, and customer interruption minutes ≤ six million during any 24-hour period, excluding unplanned interruptions from major external factors								
Number of extreme events	Compliance result							
nil	Compliant							

4.4 Quality Incentive Adjustment

Table 14 below shows Top Energy quality incentive adjustment for the assessment period.

Table 14

Q	uality Incentive Adjustment RY2	21		
Term	Description	Value (\$000)		
SAIDI planned adjustment	(SAIDI planned, target - SAIDI planned, assessed) x 0.5 x IR	\$45.650		
SAIDI unplanned adjustment	(SAIDI unplanned, target - SAIDI unplanned, assessed) x IR	\$4.366		
Total adjustment	SAIDI planned adjustment + SAIDI unplanned adjustment	\$50.017		
Revenue at risk	0.02 * ANAR	\$760.300		
Total penalty/reward		\$50.017		
67th percentile estimate of post- tax WACC		4.23%		
Quality incentive adjustment		\$54.337		

Table 15 below shows Top Energy's quality incentive adjustment inputs consistent with Schedule 4 of the 2020 DPP Determination.

	Q	uality Incentive	e Adjustment Inputs RY21		
Term	Units	Value	Term	Units	Value
SAIDI planned interruption cap	minutes	381.07	SAIDI unplanned interruption cap	minutes	380.24
SAIDI planned interruption collar	minutes	-	SAIDI unplanned interruption collar	minutes	-
SAIDI planned interruption target	minutes	127.02	SAIDI unplanned interruption target	minutes	302.16
Planned SAIDI assessed value	minutes	99.21	Unplanned SAIDI assessed value	minutes	300.83
Incentive rate		3,283			
Actual net allowable revenue (ANAR)	\$0	38,015			
SAIDI planned interruption target	minutes	127.02	SAIDI unplanned interruption target	minutes	302.16
Minimum of the planned SAIDI cap and assessed value	minutes	99.21	Minimum of the unplanned SAIDI cap and assessed value	minutes	300.83
Planned SAIDI subject to minutes		27.81	Unplanned SAIDI subject to incentive	minutes	1.33
Adjustment (IR x 0.5)	\$		Adjustment (IR)	\$	3,283
SAIDI planned adjustment	\$0	\$45,650	SAIDI planned adjustment	\$0	\$4,366

5. Transactions

Top Energy has not entered into any agreement with another EDB or Transpower for an amalgamation, merger major transaction or non-reopener transaction in the assessment period

6. Director's certification

A Director's certificate in the form set out in Schedule 7 of the 2020 DPP Determination is included as Appendix E.

7. Assurance report

An assurance report meeting the requirements of Schedule 8 of the 2020 DPP Determination is included in Appendix F.

Appendix A – Pass-through and recoverable costs

Table 16 and 17 compare the forecast pass through and recoverable costs used to set forecast allowable revenue for the assessment period, to the actual pass-though and recoverable costs used to determine actual allowable revenue.

These costs for the assessment period were forecast by Top Energy in December 2019 as part of the company's annual pricing process. For the 2021 assessment period the actual pass-through and recoverable costs incurred were \$28k less than forecast.

Pass-through costs

Table 15

Passthrough Costs for year endi	ng March 2021				Notes
Description	2021 Actual \$	2021 Forecast \$	Variance (\$)	Variance (%)	
Rates	53,297	53,805	(508)	(.95)%	
Electricity Authority Levies	80,433	76,256	4,177	5.19%	
Complaints Levy	21,816	23,440	(1,624)	(7.44)%	
Commerce Act Levies	87,981	118,501	(30,520)	(34.69)%	Reduction in charges from 1 July 2020 compared to previous years
Total	243,527	272,002	(28,475)	(11.69)%	

Recoverable costs

Recoverable Costs for year endi	ng March 2021				Notes		
Description	2021 Actual \$	2021 Forecast \$	Variance (\$)	Variance (%)			
Transpower	5,275,779	5,275,779	(0)	(.)%	As per Transpower billing		
Avoided Transmission Ngawha	1,751,722	1,751,722	-	-	Based on RCPD Hundred peaks and Transpower price for Interconnection		
Extended Reserves Allowance	-	-	-	-			
Quality Incentive Adjustment	342,310	342,310	-	-	Quality Incentive calculation for 19/20		
Quality Time value Adjustment	42,963	42,963	-	-			
Innovation	-	-	-	-	No Innovation spending in AMP		
IRIS (OPEX)	(528,409)	(528,409)	-	-	As per Com Com model for IRIS		
IRIS (CAPEX))	-	-	-	-	As per Com Com model for IRIS		
Total	<mark>6,884,365</mark>	6,884,365	(0)	(.)%			

Pass-through balance

Pass-through balance allowance										
Term	Description	Value (\$000)								
Pass-through balance	Pass-through balance for the assessment period ending 31 March 2020	(238)								
67th percentile estimate of post-tax WACC	As per Clause 4.2	4.23%								
Pass-through balance	Pass-through balance x (1 + 67th percentile post-tax WACC)	(248)								

Appendix B – Prices and quantities

Table 19 shows the forecast revenue from prices for the first assessment period from the price setting compliance statement.

Table 19

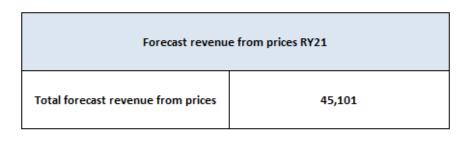


Table 20 shows the actual prices and quantities for actual revenue from prices for the first assessment period.

										Lir	ne Tariff 1.4.2020 ti	o 31.3.2021 year			Actual Pass- through Revenue	Actual Pass- through Revenue	Actual Distrib	ution Revenue (\$)	Actual Other Revenue (\$)	Actual Other Revenue	Total Revenue (\$)				Discou	nt			Total Revenu (\$)
Tariff or Fee		Description	Pass -through Average Number of ICPs 31/03/21	Pass-through kWh or kw or kvarh for 31/03/21	Distribution kWh or kw or kvarh for 31/03/21	kVA for 31/03/17	Other Qty for 31/03/21	Other Qty for 31/03/21	cents/Day Pass	Fixed cents/Day		Variable (c/kwh)	Variable (c/kwh)	Variable (c/kwh)	Fixed	Variable	Fixed	Variable	Fixed	Variable		ICP Numbers eligible	Kwh discounted	Fixed Discount \$/day	Variable Discount c/kWh (Capped) Variable	Actual Distribution Discount (\$)	Actual Distribution Discount (\$)	Total Discount (\$)	Total Reven (\$) less Discour
									through Prices	Distribution	Total	Prices	Distribution	Total							SPI,2021 QI 2021			Fixed	(kWh)	Fixed	Variable		SPI,2021 QI 20
v User Non-TOU (LR) F		Transmission Price	8,736						1.270	13.730	15.00000				40,495		437,793				478,288	8,096		- 0.14		(405,730)		- (405,730)	7:
pacity Charge 4 to W	115124	I DE Lincontrolleri		6.659.636	6,659,636							3.290	19.760	23.050		219.102		1.315.944			1,535,046		1 504 285		0.15		(222,785)	(222.785)	1,31:
с с	UN24 IN18 CN20 D16 N8	LRF Uncontrolled LRF All inclusive LRF Controlled 20 LRF Day LRF Night		31,789,791	31,789,791							2.330	15.770	18.100		740,702		5,013,250			5,753,952		1,504,285 7,180,709		- 0.15		(1,063,463)	(1,063,463)	1,31 4,69 1 19 3
	D16 N8	LRF Day LRF Night		1,049,254 357,180	1,049,254 357,180	4						1.050 2.440 0.520	19.690 9.410	22.130 9.930		25,602 1,857		206,598 33,611			232,200 35,468	:	237,007		- 0.15		(35,101)	(35,101)	19 3
user TOU		LUF Daily price on	1,399						1.270	13.730	15.00000				6,485		70,110				76,595	1,444		- 0.14		(72,389)		(72,389)	
acity Charge 4 to	UN24 UN24 UN24	LUF Peak LUF Shoulder LUF Off peak		1,005,149 2,863,543 1,513,049	1,005,149 2,863,543 1,513,049	-						3.290 3.290 0.520	26.480 18.610 18.930	29.770 21.900 19.450		33,069 94,211 7,868		266,164 532,905 286,420			299,233 627,116 294,288	:	304,857 868,498 458,900		- 0.15 - 0.15 - 0.15		(43,043) (122,624) (64,793)	(43,043) (122,624) (64,793)	2 5 2
	UN24	LUF Off peak		1,513,049	1,513,049							0.520	18.930	19.450		7,868		286,420			294,288		458,900		- 0.15		(64,793)	(64,793)	2
user TOU controlled		LCF Daily price on	5,752			-			1.270	13.730	15.00000				26,664		288,268				314,932	6,420		- 0.14		(321,718)		(321,718)	
icity Charge 4 to	IN18 IN18 IN18	LCF Peak LCF Shoulder LCF Off peak		5,746,705 16,084,376 7,885,682	5,746,705 16,084,376 7,885,682							2.160 2.330 0.520	22.480 14.830 13.700	24.640 17.160 14.220		124,129 374,766 41,006		1,291,859 2,385,313 1,080,338			1,415,988 2,760,079 1,121,344	:	1,402,839 3,926,389 1,924,989		- 0.15 - 0.15 - 0.15		(204,797) (573,204) (281,024)	(204,797) (573,204) (281,024)	1,2 2,1 8
dard User Non-TOU	INTO	CCP On peak		7,000,002	7,000,002							0.020	13.700	14.220		41,000		1,080,338			1,121,044		1,324,303		- 0,10		(201,024)	(281,024)	
acity Charge 4 to		SRF Daily Price	6,816			-			3.200	116.800	120.000000				79,616		2,905,986				2,985,602	6,133		- 0.14		(307,340)		(307,340)	2,6
V V	UN24	SRF Uncontrolled		8,691,424	8,691,424							3.110	15.220	18.330		270,303		1,322,835			1,593,138		1,328,561		- 0.15		(186,464)	(186,464)	1,4
	IN18 CN20	SRF Uncontrolled SRF All inclusive SRF Controlled 20 SRF Day SRF Night		34,509,741 419,338	34,509,741 419,338	1						2,160	11.220 6.040	13.380 7.100		745,410 4,445		3,871,993 25,328			4 617 403		5,275,119		- 0.15		(740,366)	(740,366)	3.8
	D16 N8	SRF Day SRF Night		2,134,947 857,374	2,134,947 857,374							1.060 2.270 0.520	13.920 8.240	16.190 8.760		48,463 4,458		297,185 70,648			29,773 345,648 75,106	÷	326,346		- 0.15		(45,803)	(45,803)	3
dard user TOU		SUF Daily price on	1,388						3.200	116.800	120.00000				16,212		591,732				607,944	1,922		- 0.14		(96,335)		(96,335)	
acity Charge 4 to	UN24 UN24 UN24	SUF Peak SUF Shoulder SUF Off peak		1,973,788 5,591,943 2,918,694	1,973,788 5,591,943 2,918,694							3.110 2.160 0.520	22.020 15.310 14.260	25.130 17.470 14.780		61.385 120,786 15,177		434,628 856,126 416,206			496,013 976,912 431,383		408.936 1,158,558 604,705		- 0.15 - 0.15 - 0.15		(56,875) (161,133) (84,103)	(56,875) (161,133) (84,103)	
	UN24	SUP Off peak		2,918,694	2,918,694	•						0.520	14.260	14.780		15,177		416,206			431,383		604,705		- 0.15		(84,103)	(84,103)	
dard user TOU		SCF Daily price on	3,087						3.200	116.800	120.00000				36,051		1,315,857				1,351,908	3,167		- 0.14		(158,705)		(158,705)	1,
city Charge 4 to	IN18 IN18 IN18	SCF Peak SCF Shoulder SCF Off peak		4,308,236 12,054,183 6,111,219	4,308,236 12,054,183 6,111,219							2.160 2.100 0.520	17.460 10.690 8.750	19.620 12.790 9.270		93,058 253,138 31,778		752,218 1,288,592 534,732			845,276 1,541,730 566,510		686.012 1,919,419 973,105		- 0.15 - 0.15 - 0.15		(98,822) (276,498) (140,179)	(98,822) (276,498) (140,179)	1.
munity (GM)		act on peak		0,111,210	0,111,218							0.520	6.750	0.210		51,776		034,732			300,010		873,100		- 0.13		(140,170)	(140,178)	
munity (GM)																													
eral User (GG) acity Charge to 15		GGF Daily Price	3,866						3.200	116.800	120.00000			•	45,158		1,648,251				1,693,409	3,698		- 0.14		(185,306)		(185,306)	1,0
	UN24	GGF Uncontrolled		41,532,092	41,532,092	1						3.110	15.220	18.330		1,291,648		6,321,184			- 7,612,832 494,009		3,312,441 294,471		- 0.15		(415,306) (36,920)	(415,306)	7.1
c	IN18 CN20	GGF Uncontrolled GGF All inclusive GGF Controlled 20 GGF Day GGF Night		3,692,145 3,126,915 7,164,787 3,472,740	3 692 145	5						3.110 2.160 1.060	11.220 6.040	13.380 7.100		1,291,648 79,750 33,145		6,321,184 414,259 188,866 997,338 286,154			494,009 222,011	:	-				-	(36,920)	
	D16 N8	GGF Day GGF Night		3,472,740	7,164,787 3,472,740							2.270 0.520	13.920 8.240	16.190 8.760		162,641 18,058		997,338 286,154			222,011 1,159,979 304,212	÷	571,436		- 0.15		(71,645)	(71,645)	1,
eral TOU		GUF Daily price on	1,199						3.200	116.800	120.00000				13,998		510,945				524,943	1,191		- 0.14		(59,665)		(59,665)	
	UN24 UN24 UN24	GUF Peak GUF Shoulder GUF Off peak		2,097,198 6,839,914 3,303,644	2,097,198 6,839,914 3,303,644							3.110 2.270 0.520	22.020 15.200 14.260	25.130 17.470 14.780		65,223 155,266 17,179		461,803 1,039,667 471,100			527,026 1,194,933 488,279	:	230,496 751,752 363,092		- 0.15 - 0.15 - 0.15		(29,001) (94,584) (45,684)	(29,001) (94,584) (45,684)	1.
eral TOU controlled		GCF Daily price on	287						3.200	116.800	120.00000			-	3.346		122,141				125.487	417		- 0.14		(20,890)	(10)-17	(20,890)	
	IN18 IN18 IN18	GCF Peak GCF Shoulder GCF Off peak		777,080	777,080 2,334,428 1,255,020				0.200		120.0000	2.160 2.270 0.520	17.460	19.620 12.790 9.270	0,040	16,785 52,992 6,526	-	135,678 245,582 109,814			152,463 298,573 116,340		83,827	0.14	- 0.15 - 0.15 - 0.15	(10,000)	(11,256) (33,815) (18,179)	(11,256) (33,815) (18,179)	1
	IN18	GCF Shoulder GCF Off peak		2,334,428	1,255,020							0.520	10.520 8.750	9.270		6,526		109,814			116,340		251,826 135,385		- 0.15		(18,179)	(18,179)	2
eral Advanced User		GAF Daily price on																											
	TOU or SM See Note 1	HHR G1 Peak G2 Shoulder	44	1,582,335	1,582,335	1			94.650	824.330	918.98000	3.320	13.980	17.300	15,135	52,534	131,811	221,210 319,831			146,946 273,744	45	1,225,144 2,606,665	- 0.55	- 0.00	(9,034)	(4,656) (9,905)	(9,034) (4,656)	1
	See Note 1. See Note 1.	G2 Shoulder G3 Off peak		3,366,638 1,646,090	3,366,638 1,646,090	1						2.250 0.520	9.500 5.720	11.750 6.240		75,749 8,560		319,831 94,156			395,580 102,716	:	2,606,665		- 0.00		(9,905) (4,843)	(9,905) (4,843)	
				4,106,003	4,106,003	1															:								
er User (TOU)		TOU Daily price on	47						286.660	2,345,540	2,632.200				66,057		540,498				606,555			- 0.55		(12,246)		- (12.246)	
1 2		Peak Shoulder Off peak		8,421,202 17,707,655	17,707,655	2			200.000	2,040.040	2,002.200	3.730 2.540	10.250 6.970	13.980 9.510	-	314,111 449,774	-	863,173 1,234,224			1,177,284		7,498,345	0.00	- 0.00	(12,240)	(28,494) (59,915)	(28,494) (59,915)	1,:
3 strial		Off peak		10,704,353	10,704,353	1						0.140	0.710	0.850		14,986	1	76,001			90,987	:						:	
84310TEBBE						1100	10		1,939.71	1,316.86	3,256.57				709,934		481,973				1,191,906	. 1	-	- 47.66	· ·	(17,396)		(17,396)	1,1
84000 TE210			1						405.67	684.86	1,090.53				148,070	•	249,974	-			398,043	1	-	- 23.83	· ·	(8,698)		(8,698)	
tandard t Lights	LDG		1						-	175.6200	175.62	-	-			-	64,101	-			64,101					-		•	
ON500 ECL								134		43.00 44.00	43.00 44.00				0			-	20,953 4,657		20,953 4,657								
L IT								52		15.00 24.00	15.00				0		-		2,847		2.847								
H								35 1,666 440	•	88.00 44.00	24.00 88.00 44.00				0	:	1	:	701 11,242 267,613		701 11,242 267,613 86,724					:		:	
SHLPMC TH								440		54.00 132.00	54.00 132.00				0	:		:	86,724 2,409		86,724 2,409								
								61							0													÷	
stment for previous period wash up																	(12,168) (22,001)			(34,169)								
SP1,2021 Q1			32,642	277,816,847	277,816,847	-	-	2,429							1,207,220	6,127,335	9,347,272	35,753,307	397,146		. 52,832,281	32,596	63,581,236			(1,675,451)	(5,265,280)	(6,940,731)	45,

Appendix C – Policies and procedures for measuring planned and unplanned interruptions

PROCESS SUMMARY

The Top Energy Network Control Centre (TECC) records all customer outages using an Advanced Distribution Management System (ADMS) - GE Power On Advantage. Outages are classified as either Unplanned when a fault occurs on the Network or Planned when customers are notified in advance of a scheduled outage. All outages are posted on the Top Energy Outage Centre website which also sends outage notifications and restoration updates directly to Electricity Retailers and subscribed customers via a mobile App. All Network reliability performance data is sourced from the ADMS Outage Reports.

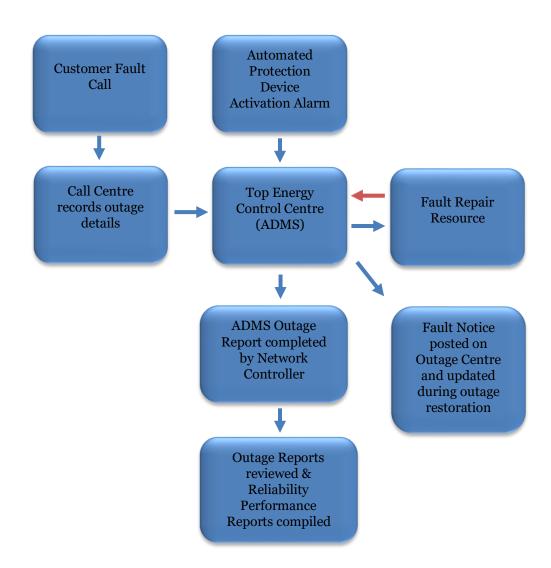
• UNPLANNED OUTAGES

Unplanned outages can be initiated by 2 types of events which determine the outage start time used:

- 1. Customer Fault Call received by the Call Centre start time is the Call record entry time;
- 2. Automated Protection Device Activation Alarm start time is the Device operation time.

Fault Call details are entered into the Call Management System by the Call Centre Operators who identify key information about the fault and record the contact details of the Caller. A Network Controller in the TECC reviews the Fault Call details and creates an outage Incident in the ADMS.

Automated Protection Device initiated faults automatically trigger the creation an outage Incident in the ADMS. The Fault Dispatcher or Network Controller may dispatch a fault-crew resource directly or via the Contractor's Faults Supervisor. A Fault Notice is posted on the Outage Centre website and is updated during the Incident as the supply to customers is restored. Once all supply has been restored a Network Controller completes an Incident Outage Report.



Interruption to Unplanned Outage Response or Repair

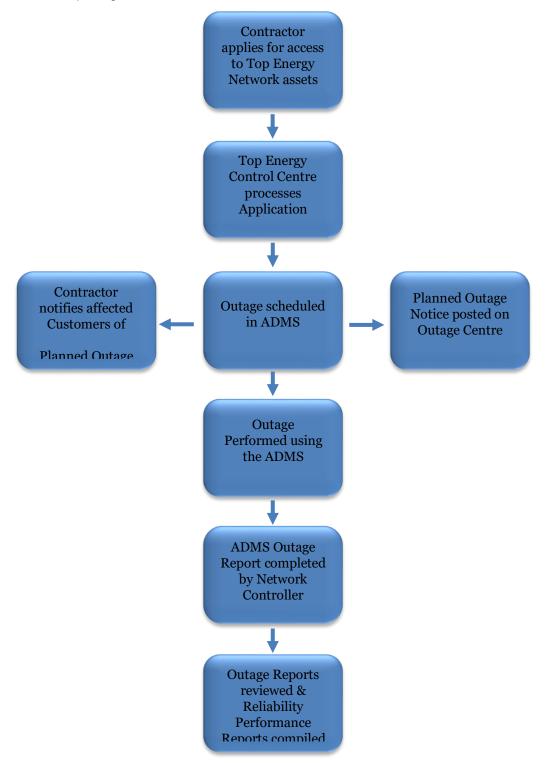
- For unplanned outages where the fault response resource is under the control of a third party or obstructed from attending and resolving the fault, the field resource will notify the Network Controller of the time of the obstruction affected our ability to respond and the time we were able to recommence the response. Those times will be recorded in the ADMS and the field switching sheet. The outage minute count will stop upon notification of obstruction and commence when we are back in the position we were prior to the notification of obstruction and able to resume from that point. (Examples of obstructions are lack of access to fault sites due to Civil Defence, Road Authority, Police, Emergency Services, or Worksafe NZ in control of site preventing faults response access etc.);
- For unplanned outages where our fault response resource is stood down due to safety issues including weather conditions or environment (e.g. extreme weather, terrain, remoteness, darkness, or fatigue etc). The outage minute count will stop when field resource notifies the Network Controller of the decision to stop to manage safety risks and will recommence once the fault response resource is back in the same position prior to the notification of the stop to manage safety and able to resume from that point. This may include suspension of restoration and or repairs until an agreed safety plan can be agreed and implemented;
- For unplanned outages where customers notify that they do not wish for power to be restored until a later agreed time or date or deny access to their property or agree to be left without supply until an agreed commencement time, then the same principles for reporting outage minutes apply as for site obstruction.

Only high voltage assets owned and operated by Top Energy are included in SAIDI calculations. Serviceability is defined by the customer's ability to receive line function services and at their point of supply/Network connection (ICP).

• PLANNED OUTAGES

Planned outages are managed by the Control Centre which:

- 1. approves scheduling of work/outages applied for by the field Contractor;
- 2. creates a precompiled Switching Procedure for the outage;
- 3. posts a planned outage notice on the Outage Centre which is updated during outage restoration;
- 4. conducts and coordinates the planned switching on the network;
- 5. records network device operation times and affected ICPs in the ADMS used for outage reporting.



• ADMS INCIDENT RECORDING

All outages on the Top Energy Network are recorded as Incidents in the ADMS Outage Management System (OMS). The OMS runs traces on its Network model to identify the ICPs affected during an Incident. The outage minutes for each network device operation are determined by tracing/counting the ICPs affected and calculating the duration of that outage restoration stage.

The customer minutes lost (CML) for an Incident is the sum of the outage minutes for each outage restoration stage:

CML = Σ (ICP count Stage 1 x Duration Stage 1) + (ICP count Stage 2 x Duration Stage 2) +.... (and so on for each stage)

The SAIFI figure for each incident is calculated using the total number of ICPs affected. Repeat interruptions of supply to an ICP during an incident are not counted in the recorded SAIFI total.

Top Energy maintains an ICP database (Club ICP) which is based on the industry-maintained Registry equivalent. The ICP database is maintained consistently in compliance with relevant Rules and Regulations. The ICP data is sourced from the Electricity Registry and updated in the database each day. An automated process runs daily which compares the ICP data from Club ICP to the ADMS customer records and performs any required updates/deletions/insertions.

A Network connectivity model is maintained in the Geographical Information System (GIS). Updates to the GIS connectivity model are applied as patches to the ADMS Network Model. A trace is run through the GIS Network connectivity model that gathers the total ICPs per feeder. The trace results are compared against the previous days trace and outputted into a report showing the difference between the two traces, categorized by feeder. The report is e-mailed to the GIS Manager each morning and reviewed. If there is a significant ICP difference the connectivity of the feeder is further investigated in GIS, and when remedied the trace is rerun manually.

In addition, a weekly trace is run to ensure number of ICPs in Club ICP database matches number of ICPs connected in GIS by the GIS Administrator. The report outputs total number of ICPs in Club ICP application and the total number of ICPs in GIS, the difference between the two databases categorised by feeders. The report also lists ICP numbers which are not placed in GIS. This report is reviewed and rectified by GIS Technician as appropriate.

For disclosure purposes the average of the Total ICP counts at 31 March year start and 31 March year end are used. The average ICP count for the assessment period is calculated as the sum of the ICP Count at the end of the previous assessment period (31 March) and the ICP count at the end of the current assessment period (31 March), divided by 2.

Network reliability performance statistics (SAIDI, SAIFI etc.) are derived from the ADMS Outage Reports. The outage Incidents are reviewed monthly for reasonableness by the Control Centre Manager. The reliability statistics form part of the General Manager Network's monthly report to the Board of Directors. The statistics are summarised and reported on a sixmonthly basis as part of the Company's Financial Report and are compared against targets set out in the Company's Statement of Corporate Intent.

Appendix D – SAIDI and SAIFI major events

The tables below show the normalisation of the SAIDI and SAIFI major events that took place during the assessment period, consistent with Schedule 3.2 of the 2020 DPP Determination.

	Normalisation of unplanned SAIDI major events RY21									
SAIDI unplann	SAIDI unplanned boundary value 27.92									
1/48th of the	Event	reference (dat	te)	Even	t reference (da	te)				
SAIDI unplanned boundary value	Half hour commencing	Raw SAIDI value for Class C interruption	Normalised SAIDI value for Class C interruption	Half hour commencing	Raw SAIDI value for Class C interruption	Normalised SAIDI value for Class C interruption				
Total		0.00	0.00		0.00	0.00				

	Normalisa	tion of unp	lanned SAI	FI major events R	Y21			
	S	AIFI unplanned	d boundary val	Je		0.2284		
1/48th of	E	Event 1	E	Event 2				
the SAIFI unplanned boundary value	Half hour commencing	Raw SAIFI value for Class C interruption	Normalised SAIFI value for Class C interruption	Half hour commencing	Raw SAIFI value for Class C interruption	Normalised SAIFI value for Class C interruption		
0.004758333	9/06/2020 5:30 PM	0.344197255	0.004758333	11/06/2020 3:00 PM	0.638404796	0.004758333		
0.004758333	9/06/2020 6:00 PM	0	0	11/06/2020 3:30 PM	0	0		
0.004758333	9/06/2020 6:30 PM	0	0	11/06/2020 4:00 PM	0	0		
0.004758333	9/06/2020 7:00 PM	0	0	11/06/2020 4:30 PM	0	0		
0.004758333	9/06/2020 7:30 PM	0	0	11/06/2020 5:00 PM	0	0		
0.004758333	9/06/2020 8:00 PM	0	0	11/06/2020 5:30 PM	0	0		
0.004758333	9/06/2020 8:30 PM	0	0	11/06/2020 6:00 PM	0	0		
0.004758333	9/06/2020 9:00 PM	0	0	11/06/2020 6:30 PM	0	0		
0.004758333	9/06/2020 9:30 PM	0	0	11/06/2020 7:00 PM	0	0		
0.004758333	9/06/2020 10:00 PM	0	0	11/06/2020 7:30 PM	0	0		
0.004758333	9/06/2020 10:30 PM	0	0	11/06/2020 8:00 PM	0	0		
0.004758333	9/06/2020 11:00 PM	0	0	11/06/2020 8:30 PM	0	0		
0.004758333	9/06/2020 11:30 PM	0	0	11/06/2020 9:00 PM	0	0		
0.004758333	10/06/2020 12:00 AM	0	0	11/06/2020 9:30 PM	0	0		
0.004758333	10/06/2020 12:30 AM	0	0	11/06/2020 10:00 PM	0	0		
0.004758333	10/06/2020 1:00 AM	0	0	11/06/2020 10:30 PM	0	0		
0.004758333	10/06/2020 1:30 AM	0	0	11/06/2020 11:00 PM	0	0		
0.004758333	10/06/2020 2:00 AM	0	0	11/06/2020 11:30 PM	0	0		
0.004758333	10/06/2020 2:30 AM	0	0	12/06/2020 12:00 AM	0	0		
0.004758333	10/06/2020 3:00 AM	0	0	12/06/2020 12:30 AM	0	0		
0.004758333	10/06/2020 3:30 AM	0	0	12/06/2020 1:00 AM	0	0		
0.004758333	10/06/2020 4:00 AM	0	0	12/06/2020 1:30 AM	0	0		
0.004758333	10/06/2020 4:30 AM	0	0	12/06/2020 2:00 AM	0	0		
0.004758333	10/06/2020 5:00 AM	0	0	12/06/2020 2:30 AM	0	0		
0.004758333	10/06/2020 5:30 AM	0	0	12/06/2020 3:00 AM	0	0		
0.004758333	10/06/2020 6:00 AM	0	0	12/06/2020 3:30 AM	0	0		
0.004758333	10/06/2020 6:30 AM	0	0	12/06/2020 4:00 AM	0	0		
0.004758333	10/06/2020 7:00 AM	0	0	12/06/2020 4:30 AM	0	0		
0.004758333	10/06/2020 7:30 AM	0	0	12/06/2020 5:00 AM	0	0		
0.004758333	10/06/2020 8:00 AM	0	0	12/06/2020 5:30 AM	0	0		
0.004758333	10/06/2020 8:30 AM	0	0	12/06/2020 6:00 AM	0	0		
0.004758333	10/06/2020 9:00 AM	0	0	12/06/2020 6:30 AM	0	0		
0.004758333	10/06/2020 9:30 AM	0	0	12/06/2020 7:00 AM	0	0		
0.004758333	10/06/2020 10:00 AM	0	0	12/06/2020 7:30 AM	0	0		
0.004758333	10/06/2020 10:30 AM	0	0	12/06/2020 8:00 AM	0	0		
0.004758333	10/06/2020 11:00 AM	0	0	12/06/2020 8:30 AM	0.0360596	0.004758333		
0.004758333	10/06/2020 11:30 AM	0	0	12/06/2020 9:00 AM	0	0		
0.004758333	10/06/2020 12:00 PM	0	0	12/06/2020 9:30 AM	0	0		
0.004758333	10/06/2020 12:30 PM	0	0	12/06/2020 10:00 AM	0	0		
0.004758333	10/06/2020 1:00 PM	0	0	12/06/2020 10:30 AM	0	0		
0.004758333	10/06/2020 1:30 PM	0	0	12/06/2020 11:00 AM	0	0		
0.004758333	10/06/2020 2:00 PM	0	0	12/06/2020 11:30 AM	0	0		
0.004758333	10/06/2020 2:30 PM	0	0	12/06/2020 12:00 PM	0	0		
0.004758333	10/06/2020 3:00 PM	0	0	12/06/2020 12:30 PM	0	0		
0.004758333	10/06/2020 3:30 PM	0	0	12/06/2020 1:00 PM	0	0		
0.004758333	10/06/2020 4:00 PM	0	0	12/06/2020 1:30 PM	0	0		
0.004758333	10/06/2020 4:30 PM	0	0	12/06/2020 2:00 PM	0	0		
0.004758333	10/06/2020 5:00 PM	0	0	12/06/2020 2:30 PM	0	0		
Total		0.344197255	0.004758333		0.674464396	0.009516666		

Major Event Normalisation YE2021

Major event normalisation reduces the raw value to 1/48th of the boundary value:

Unplanned interruptions	Boundary value	Normalised Value
SAIDI	27.92	0.581666667
SAIFI	0.2284	0.004758333

SAIFI Major Event 1

INCIDENT	START DATE	END DATE	DESCRIPTION	SAIDI	SAIFI	SAIFI NORM
INCD-2995-F	09/06/2020 05:50 pm	09/06/2020 06:52 pm	KOE CB160152 Overload	18.013	0.3442	0.004758
			Totals	18.013	0.3442	0.004758

SAIFI Major Event 2

INCIDENT	START DATE	END DATE	DESCRIPTION	SAIDI	SAIFI	SAIFI NORM
INCD-3142-F	11/06/2020 03:21 pm	11/06/2020 03:48 pm	Tripped KOE 33kV CB's during planned work	12.863	0.6384	0.004758
INCD-3031-F	12/06/2020 08:31 am	12/06/2020 12:28 pm	Kaikohe, CB 0107 Conductor Failure, Guy Rd	4.584	0.0361	0.004758
			Totals	17.447	0.6745	0.009516

INCD-2995-F

Incident	INCD-2995-F
Incident Description	KOE CB160152 Overload
Location of Fault	Kaikohe 33kV Substation
Feeder	CB0152
Main Equipment Involved	Circuit Breaker CB160152
Cause	Protection Overload - Network

CT wiring shorted in an LV CT junction box giving incorrect measurements to the Circuit Breaker protection system and caused it to trip when it exceeded 24 MW. The wiring was repaired and all associated equipment checked to mitigate reoccurrence of this type of fault.

INCIDENT	INCIDENT DESCRIPTION	SAIDI	SAIFI	ICPS	START DATE	END DATE	Duration
INCD- 2995-F	KOE CB160152 Overload	18.013	0.3442	11712	09/06/2020 05:50 pm	09/06/2020 06:52 pm	01:02

INCD-3142-F

Incident	INCD-3142-F
Incident Description	Tripped KOE 33kV CB's during planned work
Location of Fault	Kaikohe 33kV Substation
Feeder	CB3682
Main Equipment Involved	Circuit Breaker CB163682
Cause	Switching Interruption (Operational Failure)

Maintenance on a defective VT fuse caused an old and poorly documented protection element to operate and trip supply to both 110kV/33kV Transmission Substation transformers. The protection element was part of an inherited Transpower protection scheme which was obsolete. This protection system has been evaluated and subsequently disabled to prevent reoccurrence of this type of fault.

INCIDENT	INCIDENT DESCRIPTION	SAIDI	SAIFI	ICPS	START DATE	END DATE	Duration
INCD- 3142-F	Tripped KOE 33kV CB's during planned work	12.863	0.6384	21723	11/06/2020 03:21 pm	11/06/2020 03:48 pm	00:27

INCD-3031-F

Incident	INCD-3031-F
Incident Description	Kaikohe, 11kV CB0107 Tripped
Location of Fault	Pole 414001, Reservoir Road
Feeder	CB0107
Main Equipment Involved	Conductor
Cause	Conductor joint failed

Kaikohe CB0107 feeder tripped due to a failed conductor joint that resulted in the conductor only being held up by a jumper. The conductor joint was repaired and the condition of all other joints on the same pole structure were inspected.

INCIDENT	INCIDENT DESCRIPTION	SAIDI	SAIFI	ICPS	START DATE	END DATE	Duration
INCD- 3031-F	Kaikohe, 11kV CB 0107	4.584	0.0361	1227	12/06/2020 08:31 am	12/06/2020 12:28 pm	03:57

Appendix E – Director's certificate

We, Euan Richard Krogh and David Alexander Sullivan being directors of Top Energy Limited certify that, having made all reasonable enquiry, to the best of our knowledge and belief, the attached annual compliance statement of Top Energy Limited and related information, prepared for the purposes of the Electricity Distribution Services Default Price-Quality Path Determination 2020 has been prepared in accordance with all the relevant requirements

Retrog

E R Krogh

Dah

D A Sullivan

27 August 2021

Appendix F – Assurance report



INDEPENDENT ASSURANCE REPORT TO THE DIRECTORS OF TOP ENERGY LIMITED ON THE ANNUAL COMPLIANCE STATEMENT FOR THE ASSESSMENT PERIOD ENDED 31 MARCH 2021 AS REQUIRED BY THE ELECTRICITY DISTRIBUTION SERVICES DEFAULT PRICE-QUALITY PATH DETERMINATION 2020

The Auditor-General is the auditor of Top Energy Limited (the Company). The Auditor-General has appointed me, Brett Tomkins, using the staff and resources of Deloitte Limited, to undertake a reasonable assurance engagement, on his behalf, on whether the Annual Compliance Statement on pages 4 to 14 and 18 to 31 for the assessment period ended on 31 March 2021 has been prepared, in all material respects, in compliance with the Electricity Distribution Services Default Price-Quality Path Determination 2020 (the 'Determination').

Opinion

In our opinion, in all material respects:

- as far as appears from our examination, the information used in the preparation of the Annual Compliance Statement has been properly extracted from the Company's accounting and other records, sourced from its financial and non-financial systems; and
- the Company has complied with clauses 11.5 and 11.6 of the Determination in preparing the Annual Compliance Statement for the assessment period ended 31 March 2021.

Basis for opinion

We conducted our engagement in accordance with the Standard on Assurance Engagements (SAE) 3100 (Revised) *Assurance Engagements on Compliance*, issued by the New Zealand Auditing and Assurance Standards Board. An engagement conducted in accordance with SAE (NZ) 3100 (Revised) requires that we also comply with the International Standard on Assurance Engagements (New Zealand) 3000 (Revised) *Assurance Engagements Other Than Audits or Reviews of Historical Financial Information*.

We have obtained sufficient recorded evidence and explanations that we required to provide a basis for our opinion.

Directors' responsibilities

The directors of the Company are responsible:

- For the preparation of the Annual Compliance Statement under clause 11.4 and in accordance with the requirements in clauses 11.5 and 11.6 of the Determination.
- For the identification of risks that may threaten compliance with the clauses identified above and controls which will mitigate those risks and monitor ongoing compliance.

Auditor's responsibilities

Our responsibilities in terms of clause 11.5(e) and schedule 8(1)(b)(vi) and 8(1)(c) of the Determination, are to express an opinion on whether:

- as far as appears from our examination, the information used in the preparation of the Annual Compliance Statement has been properly extracted from the Company's accounting and other records, sourced from its financial and non-financial systems; and
- the Annual Compliance Statement, for the assessment period ended 31 March 2021, has been prepared, in all material respects, in accordance with the requirements in clauses 11.5 and 11.6 of the Determination.

To meet these responsibilities, we planned and performed procedures in accordance with SAE 3100 (Revised), to obtain reasonable assurance about whether the Company has complied, in all material respects, with clauses 11.5 and 11.6 of the Determination.

Deloitte.

In relation to the wash-up amount set out in clause 8.6 of the Determination, our procedures included recalculation of the wash-up amount in accordance with schedule 1.6 of the Determination and assessing it against the amounts and disclosures contained on pages 4 to 7 of the Annual Compliance Statement.

In relation to the quality standards in clause 9 of the Determination, our procedures included examination, on a test basis, of evidence relevant to the values and disclosures contained on pages 8 to 12 of the Annual Compliance Statement.

In relation to the quality incentive adjustment set out in Schedule 4 of the Determination, our procedures included recalculation of the quality incentive adjustment in accordance with Schedule 4 of the Determination and assessing it against the amounts and disclosures contained on pages 13 to 14 of the Annual Compliance Statement.

An assurance engagement to report on the Company's compliance with the Determination involves performing procedures to obtain evidence about the compliance activity and controls implemented to meet the requirements. The procedures selected depend on our judgement, including the identification and assessment of the risks of material non-compliance with the requirements.

Inherent limitations

Because of the inherent limitations of an assurance engagement, together with the internal control structure, it is possible that fraud, error or non-compliance with clauses 11.5 and 11.6 of the Determination may occur and not be detected. A reasonable assurance engagement throughout the assessment period does not provide assurance on whether compliance with clauses 11.5 and 11.6 of the Determination will continue in the future.

Restricted use

This report has been prepared for use by the directors of the Company and the Commerce Commission in accordance with clause 11.5 (e) of the Determination and is provided solely for the purpose of establishing whether the compliance requirements have been met. We disclaim any assumption of responsibility for any reliance on this report to any person other than the directors of the Company and the Commerce Commission, or for any other purpose than that for which it was prepared.

Independence and quality control

We complied with the Auditor-General's:

- independence and other ethical requirements, which incorporate the independence and ethical requirements of Professional and Ethical Standard 1 issued by the New Zealand Auditing and Assurance Standards Board; and
- quality control requirements, which incorporate the quality control requirements of Professional and Ethical Standard 3 (Amended) issued by the New Zealand Auditing and Assurance Standards Board.

The Auditor-General, and his employees, Deloitte Limited, and its partners and employees may deal with the Company on normal terms within the ordinary course of trading activities of the Company. Other than any dealings on normal terms within the ordinary course of trading activities of the Company, this engagement, the assurance engagement on the Information Disclosures and the annual audit of the Company's financial statements, we have no relationship with or interests in the Company.

RETAN

Brett Tomkins Deloitte Limited On behalf of the Auditor-General Auckland, New Zealand 27 August 2021

Appendix G – Compliance statement reference

The following tables reference the Determination requirements and provide guidance on the section of this Statement that meets the specified requirements.

Table D1: Wash-up amount calculation

		Compliance
		Statement
Determination Clause	Determination requirement	section
	Top Energy must calculate the wash-up amount for each assessment period	
8.6	using the methodology specified in Schedule 1.6	2

Table D2: Quality Path summary

		Compliance
		Statement
Determination Clause	Determination requirement	section
	Top Energy must comply with the planned interruptions reliability	
9.1	assessment cap specified in clause 9.2 for the DPP regulatory period	3
	Top Energy must comply with the annual unplanned interruptions	
9.7	reliability assessment specified in clause 9.8 for that assessment period	3

Table D3: Annual compliance statement

Determination Clause	Determination requirement	Compliance Statement section
An annual Compliance Statem		
An annual compliance statem	A statement regarding compliance with the requirement to calculate the	
11 5(-)/;)		1
11.5(a)(i)	washup amount for the assessment period	1
11 5(-)/::)	A statement regarding compliance with the requirement to calculate the	1
11.5(a)(ii)	washup amount for the assessment period	1 2
11.5(b)	The day on which the statement was published	Z
	A statement whether Top Energy has entered into any agreement with	
	another EDB or Transpower for an amalgamation, merger, major	4 5
11.5(c)	transaction or non-reopener transaction in the assessment period	1, 5
	A certificate in the form set out in Schedule 7 signed by at least one	c
11.5(d)	Director of Top Energy	6
	An assurance report meeting the requirements in Schedule 8, in respect of	_
11.5(e)	all information contained in the 'annual compliance statement	7
	Details of the wash-up amount calculation, together with supporting	
11.6(a)	information for all components of the calculation	3
	Any reasons for non-compliance with the annual planned interruptions	
11.6(b)	reliability assessment	N/a
	Any reasons for non-compliance with the annual unplanned interruptions	
11.6(d)	reliability assessment	N/a
	Actions taken to mitigate any non-compliance and to prevent similar	
11.6(d)	noncompliance in future assessment periods	N/a
	For the annual planned interruptions reliability assessment, the SAIDI	
	assessed value, SAIFI assessed value, SAIDI limit and SAIFI limit for the	
	assessment period, and any supporting calculations (including those in	
	Schedule 3.1) and where applicable, the annual planned interruptions	
11.6(e)	reliability assessments for the two previous assessment periods	4
	For the annual unplanned interruptions reliability assessment, the SAIDI	
	assessed value, SAIFI assessed value, SAIDI limit, SAIFI limit, SAIDI	
	unplanned boundary value, SAIFI unplanned boundary value, SAIDI cap,	
	SAIFI cap, SAIDI collar, SAIFI collar, SAIDI target and SAIFI target for the	
	assessment period, and any 3.2 and Attachment BCPP annual compliance	
	statement 2020 Page 34 of 34 supporting calculations (including those in	
	Schedule 3.2) and where applicable, the annual unplanned interruptions	
L1.6(f)	reliability assessments for the two previous assessment periods	4
	A description of the policies and procedures which Top Energy has used for	
	capturing and recording Class B interruptions and Class C interruptions, and	
	for calculating SAIDI assessed values and SAIFI assessed values for the	
11.6(g)	assessment period	Appendix C
11.6(h)	The cause of each major event day within the assessment period	4